

SEP 18 2007

**FAX TRANSMISSION**DATE: *September 18, 2007*

PTO IDENTIFIER: Application Number 10/593,809-Conf. #4923

Patent Number

Inventor: Prabhat Kumar et al.

MESSAGE TO: US Patent and Trademark Office

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FROM: CONNOLLY BOVE LODGE &amp; HUTZ LLP

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Attorney Dkt. #: 13194-00048-US

PAGES (Including Cover Sheet): 6

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Certificate of Transmission (1 page)

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Application No. (if known): 10/593,809

Attorney Docket No.: 13194-00048-US

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Statement Under 37 CFR 3.73(b) (1 page)

Power of Attorney and Correspondence Address Indication Form (H.C. Starck)  
(1 page)

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SEP 18 2007

Docket No.: 13194-00048-US  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:  
Prabhat Kumar et al.

Conf. No.: 4923

Application No.: 10/593,809

Group Art Unit: 2812

Filed: June 28, 2007

Examiner: Not Yet Assigned

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For: METHODS OF FORMING ALPHA AND  
BETA TANTALUM FILMS WITH  
CONTROLLED AND NEW  
MICROSTRUCTURES

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450TRANSMITTAL OF POWERS OF ATTORNEY

Applicant submits herewith Statement Under 37 CFR 3.73(b) and Power of Attorney forms executed on behalf of H.C. Starck Inc. and on behalf of North Carolina State University for filing in the above-captioned patent application.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 13194-00048-US.

Dated:

September 18, 2007

Respectfully submitted,

By 

Aaron R. Ettelman

Registration No.: 42,516

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SEP 18 2007

PTO/SB/96 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: H.C. Starck Inc. and North Carolina State UniversityApplication No./Patent No.: 10/593809 Filed/Issue Date: June 28, 2007Entitled: METHODS OF FORMING ALPHA AND BETA TANTALUM FILMS WITH CONTROLLED AND NEW MICROSTRUCTURESH.C. Starck Inc. and North Carolina State, a Corporation and University, respectively  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018455, Frame 0410, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
SignatureAaron R. Ettelman  
Printed or Typed NameAuthorized Signer for Assignee  
TitleSeptember 18, 2007  
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<b>POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	Application Number	10/593,809-Conf. #4923
	Filing Date	September 20, 2006
	First Named Inventor	Jagdish Narayan
	Title	METHODS OF FORMING ALPHA AND BETA TANTALUM FILMS WITH CONTROLLED etc.
	Art Unit	N/A
	Examiner Name	Not Yet Assigned
	Attorney Docket No.	13194-00048-US

We hereby revoke all previous powers of attorney given in the above-identified application.

We hereby appoint:

☒ Practitioners associated with the Customer Number: 23416

OR

☐ Practitioner(s) named below:

Name	Registration Number	Name	Registration Number

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We are the:

☐ Applicant/Inventor.

☒ Assignees of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignees of Record

H.C. Starck Inc.

Signature	<i>Robert K. Sarafian</i>	Date	9/18/07
Name	Robert K. Sarafian	Telephone	617-630-5804
Title and Company	General Counsel, H.C. Starck Inc.		
	North Carolina State University		

Signature		Date	
Name		Telephone	
Title and Company			

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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	Art Unit	N/A										
	Examiner Name	Not Yet Assigned										
	Attorney Docket No.	13194-00048-US										
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<input type="checkbox"/> Practitioner(s) named below:												
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We are the:												
<input type="checkbox"/> Applicant/Inventor.												
<input checked="" type="checkbox"/> Assignees of record of the entire interest. See 37 CFR 3.71.												
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/56)												
SIGNATURE of Applicant or Assignees of Record												
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Signature		Date										
Name		Telephone										
Title and Company												
North Carolina State University												
Signature		Date										
Name		Telephone										
Title and Company												
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